DELTA DENTAL

SMALL BUSINESS PROGRAM
GROUP DENTAL APPLICATION

Delta Dental of Connecticut, Inc.
148 Eastern Blvd., Suite 310
Glastonbury, CT 06033
800-624-2633

APPLICANT INFORMATION

Name of Applicant:		Fed. ID/TIN:	
Contact:		Phone:	
Email:		Fax:	
Address:			
City:	State:	ZIP Code:	County:
Industry Type:		SIC:	
Billing Address, if different:			
Billing Contact:		Phone:	Fax:
Billing Email:			
Situs State: Connecticut	Group Type: Employer	Contract Type: Non Retention	Length of Contract: One Year
Proposed Effective Date:	Open Enrollment Month *(if different from renewal date)*:		
Recipient of Electronic Documents and Notices: ☐ Applicant ☐ Other (provide name and email, address or fax number):			

FUNDING

Employer Contribution and Participation Requirement (check one):

☐ 50%-99% (75% of eligible employees, 50% of eligible dependents) For groups with 10 or more eligible employees: Enrollment may not be less than the greater of the percentage listed above or 10 primary enrollees. For groups with 2-9 primary enrollees: Enrollment may not be less than the greater of the percentage listed above or 2 primary enrollees.	☐ 0%-49.9% (Voluntary Plans Only) (25% of eligible employees) For groups with 10 or more eligible employees: Enrollment may not be less than the greater of the percentage listed above or 5 primary enrollees. For groups with 2-9 primary enrollees: Enrollment may not be less than the greater of the percentage listed above or 2 primary enrollees.	☐ 100% (All eligible employees)

Note: Refer to Small Business Program brochure for specific plan information and underwriting guidelines.

RESET

DELTA DENTAL BENEFIT DESIGNS – Underwritten by Delta Dental of Connecticut, Inc.

Select Benefit Design

Plan	☐ PPO		☐ PPO Plus Premier	
	Groups 2-9	**Groups 10-50**	**Groups 2-9**	**Groups 10-50**
☐ Plan 1	☐ $500 ☐ $750	☐ $500 ☐ $750	☐ $750/$500 ☐ $1000/$750	☐ $750/$500 ☐ $1000/$750
☐ Plan 2	☐ $1000 ☐ $1,250	☐ $1000 ☐ $1,250	☐ $1000/$750 ☐ $1,250/$1000	☐ $1000/$750 ☐ $1,250/$1000
☐ Plan 3	☐ $1500 ☐ $2000	☐ $1500 ☐ $2000	☐ $1500/$1000 ☐ $2000/$1500	☐ $2000/$1500 ☐ $3000/$2500
☐ Plan 4	*Plan not offered*	☐ $1500 ☐ $2000	*Plan not offered*	☐ $2000/$1500 ☐ $3000/$2500
☐ Plan 5	☐ $1500 ☐ $2000	Deductible ☐ $50/$150 ☐ $75/$225 CYM ☐ $1500 ☐ $2000	Deductible ☐ $50/$150 ☐ $75/$225 CYM ☐ $1500/$1000 ☐ $2000/$1500	Deductible ☐ $50/$150 ☐ $75/$225 CYM ☐ $1500/$1000 ☐ $2000/$1500
☐ Plan 6	*Plan not offered*	Deductible ☐ $50/$150 ☐ $75/$225 CYM ☐ $1500 ☐ $2000	*Plan not offered*	Deductible ☐ $50/$150 ☐ $75/$225 CYM ☐ $1500/$1000 ☐ $2000/$1500
☐ Plan A	*Plan not offered*	☐ $1500/$1000 ☐ $2000/$1500 ☐ $3000/$2500	*Plan not offered*	☐ $1500/$1000 ☐ $2000/$1500 ☐ $3000/$2500
☐ Plan B	*Plan not offered*	☐ $1500/$1000 ☐ $2000/$1500 ☐ $3000/$2500	*Plan not offered*	☐ $1500/$1000 ☐ $2000/$1500 ☐ $3000/$2500
☐ Plan C	*Plan not offered*	☐ $2000 ☐ $2500	*Plan not offered*	☐ $1500/$1000 ☐ $2000/$1500 ☐ $2500/$2000
☐ Plan D	*Plan not offered*	☐ $2000 ☐ $2500	*Plan not offered*	☐ $1500/$1000 ☐ $2000/$1500 ☐ $2500/$2000
☐ Plan V1	☐ $500 ☐ $750	☐ $500 ☐ $750	*Plan not offered*	☐ $500 ☐ $750
☐ Plan V2	☐ $1000	☐ $1000	*Plan not offered*	☐ $1500/$1000

MONTHLY RATES

	Rates		#Primary Enrollees		Total
3 Tier					
EE Only	$ 1.00	x		=	$ 0.00
EE+1	$	x		=	$ 0.00
EE + Family	$	x		=	$ 0.00
				TOTAL	$ 0.00

ELIGIBILITY INFORMATION

Census Data (fill in the total # of primary employees for each of the applicable boxes, listed below):

# of Eligible Employees:	# of Enrolled Employees:	# of Employees on Continuation:	Prior Carrier:

Eligible Individuals (check applicable boxes): ☐ Eligible Employees All employees working _____ hours

Eligible Dependents (check applicable boxes): ☐ Spouse ☐ Children ☐ Domestic Partner ☐ Other

Eligible Requirement (check one):

☐ Date of hire ☐ First of the month following date of hire ☐ First of the month following _____ days of employment

Application is herewith made for a dental insurance contract from Delta Dental of Connecticut, Inc. ("Delta Dental"). It is understood that any variance to the underwriting criteria for this contract must be approved by Delta Dental prior to acceptance of the plan. Applicant understands that, regardless of the effective date above, unless and until 1) this Application is executed by a duly authorized officer of Applicant and returned to Delta Dental's designated administrator and accepted by the administrator on behalf of Delta Dental, 2) the premium is paid, and 3) enrollment procedures are completed, no claims will be paid for Enrollees under the contract. It is understood that this Application is offered as an inducement for issuance of a dental benefit insurance by Delta Dental. Such contract will be based exclusively on the information given to or acquired by Delta Dental from this Application and the terms of said contract will be issued separately. The contract will be deemed accepted and approved based on the Applicant's payment of premium after delivery of the contract. To that end, the signer of the Application declares that he/she has read the statements and answers above and that to the best of his/her knowledge that the answers are true. No waiver or modification of the Application shall be accepted unless in writing and signed by an authorized officer of Applicant.

This plan shall become effective only upon issuance of a written agreement executed by a duly authorized officer of Delta Dental. In the absence of fraud or intentional misrepresentation of material fact, the statements in this application are deemed to be representations and not warranties. Any misrepresentation, omission, concealment of fact or incorrect statement which is material to the acceptance of risk may prevent recovery if, had the true facts been known to Delta Dental we would not in good faith have issued the contract at the same premium rate. ***Applicant agrees that premiums and current eligibility list will be submitted to Delta Dental's designated administrator by the 25th of the month prior to the coverage month.***

Applicant agrees that it shall be responsible for administering continuation of coverage for eligible employees and/or dependents, including responsibility for all required notifications, determining eligibility based on qualifying events, submitting individual enrollment forms to Delta Dental's designated administrator, collecting premiums, and informing Delta Dental's designated administrator when the employee is no longer eligible for continuation of coverage.

Except as otherwise limited by the Health Insurance Portability Accountability Act and its administrative simplification regulations ("HIPAA"), Applicant shall provide Delta Dental's designated administrator with Protected Health Information ("PHI") for the proper implementation, administration and management of the group dental contract for which the Applicant is applying. Delta Dental agrees that the PHI will be held confidential and used or further disclosed only to administer the group dental plan as described in the group dental insurance contract or as permitted or required by law. Delta Dental and Applicant shall comply with all applicable federal and state laws and regulations relating to administrative simplification, security, and privacy of PHI, including the terms of any business associate agreement/ addendum that may be required as part of the group dental benefit contract to be executed between the Applicant and Delta Dental.

This contract does not include coverage of pediatric dental services that meet requirements of the federal Patient Protection and Affordable Care Act.

Any person who knowingly and with intent to defraud any insurance company or any other person files an application for insurance or statement of claim containing any materially false information or conceals for the purpose of misleading information concerning any fact material thereto commits a fraudulent insurance act, which is a crime and subjects such person to criminal and civil penalties.

Executed this _____ day of _____ 20 ___, for the Applicant at: _____
(City and State)

By: _____ Signature: _____
(Print Name and Title)

Delta Dental Authorized Signature: _____
(Barry Petruzzi, Vice-President, Underwriting & Actuarial)

BROKER/AGENT INFORMATION				
Broker/Agent Name:		State License:		
Contact Phone :	Contact Email:		Fax:	
Company Name:		SSN/TIN:	Is Company Inc.? ☐ Yes ☐ No	
Commission Mailing Address:		City:	State:	ZIP Code:
Commission(s):		Payable to:		
Broker/Agent Signature: _____			Date:	

GENERAL AGENT INFORMATION				
General Agent Name:		State License:		
Contact Phone :	Contact Email:		Fax:	
Company Name:		SSN/TIN:	Is Company Inc.? ☐ Yes ☐ No	
Commission Mailing Address:		City:	State:	ZIP Code:
Commission(s):		Payable to:		
General Agent Signature: _____			Date:	

ELECTRONIC DELIVERY OF DOCUMENTS TERMS AND CONDITIONS

Delta Dental strives to be a green enterprise. As part of Delta Dental's green initiatives, we offer you the opportunity to have your Dental contract-related documents made available to you electronically. If you choose to have your contract-related documents made available to you electronically, the terms & conditions below apply.

1. Communication Methods: All communications that we provide to you in electronic form will be provided either (1) by accessing the Delta Dental or Delta Dental's designated administrator website with your user name and password or (2) via email. Documents sent to you through one of these two electronic methods will be considered delivered and received, unless there is an indication that the email address provided is invalid. All written documents delivered to you electronically will be considered "in writing." You should print or download for your records a copy of all electronic communications, this electronic documents disclosure and any other document that is important to you.
2. Types of Documents that Will Be Electronically Communicated: Documents available electronically include, but are not limited to: your contract, the Dental Benefits Summary Booklet for your enrollees and your notifications.
3. How to Withdraw Consent: You may withdraw your consent to transact business electronically by contacting Delta Dental's designated administrator. We may treat your provision of an invalid email address or the subsequent malfunction of a previously valid address as a withdrawal of your consent to receive electronic Communications. A withdrawal of your consent to transact business electronically will be effective only after we have had a reasonable period of time to process your request.
4. How to Update Your Records: It is your responsibility to provide us with true, accurate and complete email address, and to maintain and update promptly any changes in this information. You can update your information by contacting Delta Dental's designated administrator.
5. Hardware and Software Requirements: In order to access, view, sign and retain electronic documents that we make available to you, you must:
    - Have a device that will connect to the Internet, access to an email account and access to an internet browser.
    - Access to Adobe products will not be required to electronically sign forms but may be necessary to view, download or print documents.
    - Be able to view the disclosures on your device.
    - Have sufficient storage capacity on your computer's hard drive or other data storage unit.

We will update you if there are any changes to the hardware or software requirements that could impact receiving or signing electronic documents.

☐ **Applicant has reviewed the Electronic Delivery Terms and Conditions above and consents to have contract-related documents provided electronically.**

Delta Dental Administrator's Use ONLY
TPA Employer #: _____

Applicant accepted on: _____
Delta Dental Group #: _____